

APPENDIX 14 – ORGANIZATIONAL CONFLICTS OF INTEREST CERTIFICATION & DISCLOSURE AND AVOIDANCE/NEUTRALIZATION PLAN



WASHINGTON STATE DEPARTMENT OF
TRANSPORTATION
ADMINISTRATIVE SERVICES CONTRACTS OFFICE

ORGANIZATIONAL CONFLICTS OF INTEREST CERTIFICATION,
DISCLOSURE AND AVOIDANCE/NEUTRALIZATION PLAN

Name of Vendor / Vendor Team: _____

Organizational Conflicts of Interest Certification

My signature below certifies that, prior to submitting the Proposal, the Vendor has conducted an internal review of the Vendor team members to identify any potential, real, or perceived Organizational Conflicts of Interest (“OCOI”) relative to the anticipated procurement as generally described in WSDOT’s **Organizational Conflicts of Interest Manual M 3043.01**.

I further certify that “*Organizational Conflicts of Interest Disclosure and Avoidance/Neutralization Plan*” forms are attached for any real or potential organizational conflict of interest, as listed below, for all Vendor team members. Vendor team members include Vendor’s chief executives, directors, key project personnel and Vendor’s proposed Subcontractors/Consultants at any tier.

List name of person(s) or firm(s) potentially conflicted (if any).

Signed _____ Date _____

Printed Name and Title _____



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Organizational Conflicts of Interest Disclosure and Avoidance/Neutralization Plan

This disclosure statement outlines potential organizational conflicts of interest, either real or apparent, which as a result of activities or relationships with other persons or entities, such person or entity:

1. is unable or potentially unable to render impartial assistance or advice to WSDOT; or
2. is or might be otherwise impaired in its objectivity in performing the contract work; or
3. has or appears to have an unfair competitive advantage.

SECTION I of this disclosure statement describes the potential Organizational Conflicts of Interest. SECTION II of this disclosure statement describes the management plan for avoiding or neutralizing the potential Organizational Conflicts of Interest as described in SECTION I of this disclosure statement.

I acknowledge that the Washington State Department of Transportation (WSDOT) may require revisions to the OCOI Plan described in SECTION II of this disclosure statement prior to concurrence, and that WSDOT has the right, in its sole discretion, to limit or prohibit my involvement in the Project as a result of the potential conflicts of interest described in SECTION I of this disclosure statement.

SECTION Ia - Name of Person(s) or Firm(s) Potentially Conflicted

SECTION Ib - Description of Potential Organizational Conflict of Interest

SECTION II - Plan for Avoiding, Mitigating or Neutralizing OCOI

Signed _____ Date _____

Printed Name and Title _____

WSDOT Concurrence (for WSDOT use only)

Signed _____ Date _____

Printed Name and Title _____